PTO/SB/21 (08-00) V Approved for use through 10/31/2002. OMB 0651-0031 ase type a plus sign (+) inside this box -> [+] U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE APR 0 9 2002 er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/687,837 Applicati n Number TRANSMITTAL Filing Dat October 13, 2000 **FORM** Lu, Peter S. First Named Inventor (to be used for all correspondence after initial filing) 1647 Group Art Unit **Examiner Name** Bridget E. Bunner Total Number of Pages in This Submission Attorney Docket Number 020054-000210US ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): __ Terminal Disclaimer Copy of Notice to Comply Express Abandonment Request Request for Refund Sequence Listing (in paper formant) Information Disclosure Statement Sequence Listing (in CRF) CD, Number of CD(s) Return Postcard The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) RECEIVED Response to Missing Parts/ Incomplete Application APR 1 5 2002 Response to Missing Parts under 37 CFR TECH CENTER 1600/2900 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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Kathy Johnston

Date

March 27, 2002

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Andrew T. Serafini, Ph.D.

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PA 3210843 v1

Reg. No. 41,303

PTO/SB/17 (09-00)

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| FEE TRANSMITTAL | Application Number | 09/687,837 | BECELV | <u> </u> |
| FEE TRANSMITTAL For FY 2001 | Filing Date | October 13, 2000 | TILOLIVE | FU |
| APR 0 9 2002 불 | First Named Inventor | Lu, Peter S. | APR 1 5 200 | 2 |
| Paten Res are subject to annual revision. | Examiner Name | Bridget E. Bunner | TEAL ACTUARD | |
| THAT THAT I WAS A SO A SO | Group Art Unit | 1647 | TECH CENTER 1600 | /2900 |
| TOTAL AMOUNT OF PAYMENT (\$) 460 | Attorney Docket No. | 020054-000210US | | , |

| | METH | OD OF PAYMENT | | | | | FEE C | ALCULATION (continued) | |
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| | | | thorized to charge | 3. / | ADDITIONA | L FEES | | | |
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | | | | ie Entity | Small | Entity | | | |
| | | | | Larg Fee | Fee | Fee | Fee | Fee Description | Fee |
| Deposit | 00 440 | ` | | Cod | e (\$) | Code | (\$) | | Paid |
| Account | 20-1430 |) | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| Number | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| Deposit | Townsend | and Townsend and C | Crew LLP | 139 | 130 | 139 | 130 | Non-English specification | |
| Account Name | Townsend | and rownsond and c | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| Charge A | ny Additional Fe CFR 1.16 and | e Required | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| Applicant | claims small er | | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| | nt Enclosed: | | | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| ☐ Check | ☐ Credit ca | | ☐ Other | 116 | 400 | 216 | 200 | Extension for reply within second month | |
| | | Order | | 117 | 920 | 217 | 460 | Extension for reply within third month | 460 |
| | | CALCULATION | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| | ILING FEE | | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| Large Entity | Small Entity | | | 119 | 320 | 219 | 160 | Notice of Appeal | |
| Fee Fee Code (\$) | Fee Fee Code (\$) | Fee Description | Fee Paid | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 101 740 | 201 370 | Utility filing fee | | 121 | 280 | 221 | 140 | Request for oral hearing | |
| 106 330 | 206 165 | Design filing fee | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use | |
| 107 510 | 207 255 | Plant filing fee | | 1140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 108 740 | 208 370 | Reissue filing fee | | 141 | 1,280 | 241 | 640 | Petition to revive – unintentional | |
| 114 160 | 214 80 | Provisional filing | ree | J 1142 | | 242 | 640 | Utility issue fee (or reissue) | |
| | SUBTO | TAI (1) | (\$) | 143 | · | 243 | 230 | Design issue fee | |
| | | | | 144 | | 244 | 310 | Plant issue fee | |
| 2. EXTRA CLA | M FEES | | | 122 | | 122 | 130 | Petitions to the Commissioner | |
| _ | | Claims bel | e from Fee | 123 | | 123 | 50 | Petitions related to provisional applications | |
| Total Claims Independent | -20** = | x x | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Claims Multiple | | x [| | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of | |
| Dependent Large Entit | v Small E | L ntity | | | 740 | 246 | 370 | properties) Filing a submission after final rejection | |
| Fee Fee | Fee F | ee Fee Descript | ion | 149 | 740 | 249 | 370 | (37 CFR § 1.129(a)) For each additional invention to be | |
| Code (\$) 103 18 | 203 9 | Claims in exc | ess of 20 | [" | , , , , , | | 0.0 | examined (37 CFR § 1.129(b)) | |
| 103 18 102 84 | | | claims in excess of 3 | | 740 | 270 | 370 | Request for Continued Examination (RCE) | 1 7 |
| 102 84 | | | ndent claim, if not pai | d 179 | 740 | 279 | | • | |
| 104 280 | | | dependent claims ove | | 900 | 169 | 900 | Request for expedited examination of a design application | |
| ** Reisela claims in excess of 20 and Other fee (specify) | | | | | | | | | |
| 110 18 210 9 Reissue claims in excess of 20 and over original patent | | | | l l | The Commissioner is authorized to charge any additional fees to | | | | |
| | | SUBTOTAL (2) | (\$) | the | above no | ted Depo | osit Acco | ount. | |
| | | | | _ * | Reduced by | Basic Fili | ng Fee F | Paid SUBTOTAL (3) (\$)460 |) |
| **or number prev | iously paid, if gre | ater; For Reissues, se | e above | | | | | | |

| / | SUBMITTED BY | | | | Con | mplete (if applicable) |
|---|--------------|---------------------------|-----------------------------------|--------|-------------|------------------------|
| ſ | | Andrew T. Serafini, Ph.D. | Registration No. (Attorney/Agent) | 41,303 | Telephone | 650-326-2400 |
| ŀ | Simother . | 1 - | 77/ | | Date | March 27, 2002 |
| l | Signature | Manuel 1 | 11209 | | | + |

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | ET NO. CONFIRMATION NO. | |
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| 09/687,837 | 10/13/2000 | Peter S. Lu | 20054-000210US | 7707 | |
| | 590 12/03/2001 | PEVO | | | |
| Andrew T Ser | | (O &7/ | EXAMI | NER | |
| Two Embarcad | Townsend and Crew L | BUNNER, BRIDGET E | | | |
| San Francisco, | CA 94111-3834 | APR 0 9 2002 | ART UNIT | PAPER NUMBER | |
| | | ALENTA TEACHER | 1647 | ~~ | |
| | | THE TOTAL PROPERTY OF THE PROP | DATE MAILED: 12/03/2001 | / | |
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